

**Search Notes**

Application/Control No.

09/967,272

Examiner

Dan Kesack

Applicant(s)/Patent under  
Reexamination

BARTELS ET AL.

Art Unit

3691

**SEARCHED**

| Class | Subclass          | Date      | Examiner |
|-------|-------------------|-----------|----------|
| 705   | 1, 35, 37,<br>500 | 10/1/2007 | DK       |
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**INTERFERENCE SEARCHED**

| Class   | Subclass | Date      | Examiner |
|---------|----------|-----------|----------|
| 705     | 37       | 10/1/2007 | DK       |
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| Claim 1 |          | 10/1/2007 | DK       |

**SEARCH NOTES  
(INCLUDING SEARCH STRATEGY)**

|                        | DATE      | EXMR |
|------------------------|-----------|------|
| EAST Text Search       | 10/1/2007 | DK   |
| Inventor Name Search   | 10/1/2007 | DK   |
| EIC PLUS Search        | 10/1/2007 | DK   |
| Google Internet Search | 10/1/2007 | DK   |
| ProQuest NPL Search    | 10/1/2007 | DK   |
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## BIB DATA SHEET

CONFIRMATION NO. 6788

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|--|---|-----------------------------------|---|---|------------------------------------|
| <b>SERIAL NUMBER</b><br>09/967,272   | <b>FILING or 371(c)<br/>DATE</b><br>09/28/2001<br><b>RULE</b>   | <b>CLASS</b><br>705               | <b>GROUP ART UNIT</b><br>3691   | <b>ATTORNEY DOCKET<br/>NO.</b><br>01-1055 |                                    |
| <b>APPLICANTS</b><br>Carlton Bartels, Staten Island, NY, Deceased;<br>Jane Bartels, Staten Island, NY, Legal Representative;<br>Adam White, New York, NY, Deceased;<br>Shelby White, Atlanta, GA, Legal Representative;<br>Melissa Turnage, Cockeysville, MA, Legal Representative;<br><b>** CONTINUING DATA *****</b><br>This appln claims benefit of 60/313,842 08/21/2001<br><b>** FOREIGN APPLICATIONS *****</b><br><b>** IF REQUIRED, FOREIGN FILING LICENSE GRANTED **</b><br>10/30/2001 |   |                                   |   |   |                                    |
| Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>Verified and /ALEXANDER G<br>KALINOWSKI/<br>Acknowledged Examiner's Signature  | <input type="checkbox"/> Met after Allowance<br>Initials  | <b>STATE OR<br/>COUNTRY</b><br>NY | <b>SHEETS<br/>DRAWINGS</b><br>37  | <b>TOTAL<br/>CLAIMS</b><br>21             | <b>INDEPENDENT<br/>CLAIMS</b><br>2 |
| <b>ADDRESS</b><br>DEAN P. ALDERUCCI<br>CANTOR FITZGERALD, L.P.<br>110 EAST 59TH STREET (6TH FLOOR)<br>NEW YORK, NY 10022<br>UNITED STATES  |   |                                   |   |   |                                    |
| <b>TITLE</b><br>Electronic trading system for simulating the trading of carbon dioxide equivalent emission reductions and methods of use   |   |                                   |   |   |                                    |
| <b>FILING FEE<br/>RECEIVED</b><br>1658   | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |                                   | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees (Filing)<br><input type="checkbox"/> 1.17 Fees (Processing Ext. of time)<br><input type="checkbox"/> 1.18 Fees (Issue)<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |   |                                    |